

S/N 09/911143

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wada et al.

Examiner:

Unknown

Serial No.: 09/911143

Group Art Unit:

2651

Filed: 07/23/2001

Docket No.:

10873.772US01

Title:

OPTICAL ELEMENT, OPTICAL HEAD, OPTICAL
RECORDING/REPRODUCING APPARATUS AND OPTICAL
RECORDING/REPRODUCING METHOD



CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on October 23, 2001.

By:

Name: Jennifer Carlson

SUBMISSION OF MISSING PARTS

BOX MISSING PARTS

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

In connection with the above-identified application, enclosed please find the originally signed Combined Declaration and Power of Attorney. Also enclosed is our check in the amount of \$130.00 to cover the Missing Parts completion fee.

Respectfully submitted,

MERCHANT & GOULD P.C.

P.O. Box 2903

Minneapolis, Minnesota 55402-0903

(612) 332-5300

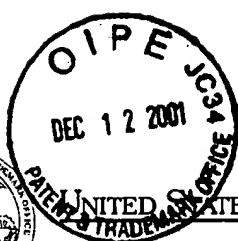
Dated: October 23, 2001

By

Curtis B. Hamre

Reg. No. 29,165

DPM/jlc



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/911,143	07/23/2001	Hidehori Wada	10873.772US01

CONFIRMATION NO. 4482

23552
MERCHANT & GOULD PC
P.O. BOX 2903
MINNEAPOLIS, MN 55402-0903

FORMALITIES LETTER



OC000000006530789

Date Mailed: 09/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/14/2001 GTEFFERA 00000081 09911143

01 FC:105

130.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wada et al.
 Serial No.: 09/911,143
 Filed: July 23, 2001
 Notice of Allow. Date: N/A



Examiner: Unknown
 Group Art Unit: 2651
 Docket: 10873.772US01
 Batch No.: N/A

SECTOR
 \$
 #4

Due Date: October 23 and November 7, 2001

Title: OPTICAL ELEMENT, OPTICAL HEAD, OPTICAL RECORDING/REPRODUCING APPARATUS AND OPTICAL RECORDING/REPRODUCING METHOD

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on October 23, 2001.

By: Jennifer Carlson
 Jennifer Carlson

Box Missing Parts
 Commissioner for Patents
 Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Signed Combined Declaration and Power of Attorney
- ☒ Information Disclosure Statement, Form 1449, 14 Reference(s)
- ☒ Check(s) in the amount of \$130.00 for Missing parts fee
- ☒ Version with markings to show changes made
- ☒ Other: Preliminary Amendment, Communication re: submission of missing parts, Copy of notice to file missing parts
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
 P.O. Box 2903, Minneapolis, MN 55402-0903
 612.332.5300

By: Curtis B. Hamre
 Name: Curtis B. Hamre
 Reg. No.: 29,165
 DPMueller/jlc

